

REPORT TO:	GENERAL PURPOSES AND AUDIT COMMITTEE 14 September 2016
AGENDA ITEM:	11
SUBJECT:	Corporate Risk Register
LEAD OFFICER:	Assistant Chief Executive Corporate Resources & S151 Officer
CABINET MEMBER	Councillor Simon Hall, Cabinet Member for Finance and Treasury
WARDS:	All
CORPORATE PRIORITY/POLICY CONTEXT:	
<p>This report presents the corporate risk register as at September 2016 as part of the General Purposes and Audit Committee's role of overseeing the risk management framework and receiving assurance that significant corporate (Red) risks are identified and mitigated by the organisation. This process will ensure that the risk management function will continue to contribute to the achievement of the Council's vision, key priorities and objectives.</p> <p>In line with the Council's commitment to openness and transparency, the corporate risk report will appear in Part A of the agenda unless there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).</p>	
FINANCIAL SUMMARY: No additional direct financial implications.	
FORWARD PLAN KEY DECISION REFERENCE NO.: N/A	

1.1 RECOMMENDATIONS

The Committee is asked to:

Note the contents of the corporate risk register as at September 2016.

2. EXECUTIVE SUMMARY

2.1 The report updates the General Purposes & Audit Committee Members on the corporate risk register (the register) as at September 2016.

3. DETAIL

Risk Register Report

3.1 The register presented details all the current corporate risks rated at a total risk score of 20 and above (Red Risks).

3.2 Since the register was last considered by Members, the following risks have been escalated

- RCSCFS0094: Post EU referendum uncertainties affecting Local Authority/ Croydon

No other risks have been escalated to red status since the report was last considered by Members.

The following risks have been de-escalated:

- CYPL0040. Home Office reduction in grant in respect of unaccompanied asylum seekers. This has been de-escalated as funding has been guaranteed for the next year and a national programme is being run by central government to encourage the dispersal of unaccompanied asylum seekers across the UK and therefore reduce pressure on Gateway authorities.
- DEV0003. Redevelopment causing significant adverse impact on the borough's highways and transport infrastructure. This risk has been de-escalated to a high amber. Programme of works has commenced and being managed through the Five Year Integrated Delivery Plan tool. Challenge is provided through a number of boards attended by Council officers as well as key external partners. The Croydon Strategic Metropolitan Board meets bi-monthly and is chaired by the Chief Executive, and attended by relevant parties such as the GLA. All groups and boards are working together to manage the schedule of works as well as deliver a joined up communications campaign to residents and businesses.

3.3 In line with the Council's commitment to openness and transparency, the register will appear with the corporate risk report in Part A of the agenda unless, in accordance with the Access to Information Procedure Rules in the Council's Constitution there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).

3.4 It should be noted that some of the grounds for exemption from public access are absolute. However, for others such as that in para.3, 'Information relating to the financial or business affairs of any particular person (including the authority holding that information)', deciding in which part of the agenda they will appear, is subject to the further test of whether, in all the circumstances of the case, the

public interest in maintaining the exemption outweighs the public interest in disclosing the information. .

4. FINANCIAL CONSIDERATIONS

4.1 There are no additional financial considerations arising from this report.

(Approved by Lisa Taylor – Assistant Director of Finance & Deputy S151 Officer)

5. COMMENTS OF THE COUNCIL SOLICITOR AND MONITORING OFFICER

5.1 The Council Solicitor advises that there are no additional legal considerations arising from this report.

(Approved by: Jacqueline Harris-Baker Head of Social Care & Education Law on behalf of Council Solicitor & Monitoring Officer)

6. HUMAN RESOURCES IMPACT

6.1 There are no additional Human Resources implications arising from this report.

(Approved by Jason Singh, Interim HR Business Partner on behalf of Heather Daley, Head of HR Consultancy)

7. EQUALITIES, ENVIRONMENTAL AND CRIME AND DISORDER REDUCTION IMPACTS

7.1 None

8. RISK ASSESSMENT

8.1 No further risk issues other than those detailed in the report.

9. FREEDOM OF INFORMATION/DATA PROTECTION CONSIDERATIONS

9.1 Information contained in the Council's Risk register or held in relation to the Council's risk management procedures may be accessible under the Freedom of Information Act subject to the application of any relevant exemptions, such as commercial sensitivity and whether disclosure was in the 'public interest'.

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BACKGROUND DOCUMENTS: None